

01-04-2000

Docket No.: 1095-1067.1

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101237155

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Mark Y. Zhan and Stuart H. Thomson

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: December 13, 1999

2. Name and address of receiving party(ies):

Name: Holland Company

Internal Address:

Street Address: 1000 Holland Drive

City: Crete

State: IL

ZIP: 60417

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/459545

If this document is being filed together with a new application, the execution date of the application is: December 13, 1999

A. Patent Application No.(s)

B. Patent No.(s)

To Be Assigned
Herewith

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David C. Brezina, Reg. No. 34,128

Internal Address: Lee, Mann, Smith, McWilliams,

Sweeney & Ohlson

Street Address: P. O. Box 2786

City: Chicago

State: IL

ZIP: 60690-2786

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David C. Brezina, Reg. N . 34,128

Name of Person Signing

Signature

December 13, 1999

Date

Total number of pages including cover sheet, attachments, and document:

4

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PATENTS ONLY

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☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **December 13, 1999**

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Internal Address: _____

Street Address: **1000 Holland Drive**

City: **Crete** State: **IL** ZIP: **60417**

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David C. Brezina, Reg. No. 34,128

Name of Person Signing

David C. Brezina

Signature

December 13, 1999

Date

Total number of pages including cover sheet, attachments, and document: **4**

CASE NO. 1095-1067.1
Holland Company

Please acknowledge
receipt of the
enclosed:

Mark Y. Zhan and Stuart H. Thomson

Patent: Cargo Snugger Strap And Hook
Mechanism

SERIAL NO: To Be Assigned Herewith

FILING DATE: Herewith

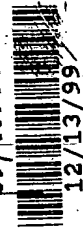
1. U.S. Utility Patent Application with
3 sheets of drawings figures, and 16
claims (3 indep. and 13 dep.)
2. Transmittal form (Ck. No. 38045 \$760).
3. Declaration/Power of Attorney.
- 3a. Assignment with Cover Sheet and Fee.
- 3b. Check No. 38044 \$40.
4. Certificate of Mailing (USPO Express)
5. Return Post Card

DUE DATE (If Any): December 16, 1998 **1999**

DATE SENT: December 13, 1999

DCB/pl

JCS62 U.S. PTO
09/459545



DCB

Case No.: 1095-1067.1

(Holland)

Cargo Snugger Strap and Hook Mechanism

Please acknowledge receipt of the enclosed:

Serial No.: 09/459,545

Filing Date: December 13, 1999

certificate of mailing,
Second Preliminary Amendment,
return postcard.

Due Date (If Any):

Date Sent: February 28, 2001 kk



DCB

Case 95-1067.1

(Holland)

Cargo Snugger Strap and Hook Mechanism

Please acknowledge receipt of the enclosed:

Serial No.: 09/459,545

Filing Date: December 13, 1999

certificate of mailing,
Second Preliminary Amendment,
return postcard.

Due Date (If Any):

Date Sent: February 28, 2001 kk

I hereby certify that the correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to:

Honorable Commissioner of Patents
United States Patent and Trademark Office
Washington DC 20231, on the date indicated below:

Date: February 28, 2001

BY: Kathy Kurek

12/13/99 11:33:38

312-368-8834->FAXCOM 4888

Right of X

Page 882

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a hereinafter named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 201 below, or a joint inventor if plural inventors are named below at 201 — 203, of the invention entitled:

Cargo Snugger Strap And Hook Mechanism

which is described and claimed in:

☒ the attached specification; or

☐ the specification in Application Serial No. _____, filed _____;

that I or we have reviewed and understand the contents of the above identified specification, including the claims, that I or we do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of any inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or us or my or our legal representatives or assigns more than twelve months prior to this application, that I or we acknowledge my or our duty pursuant to 37 CFR 1.56(a) to disclose information of which I or we are aware which is material to the examination of this application, and that no application for patent or inventor's certificate on this invention has been filed by me or us or my or our legal representatives or assigns in any country foreign to the United States of America except as identified hereinafter:

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RighttrAX

Page 883

**FOREIGN APPLICATION(S), IF ANY, FILED WITHIN TWELVE (12) MONTHS
PRIOR TO THE FILING DATE OF THIS APPLICATION**

			Priority Claimed Under 35 USC 119	
Country	Application No.	Date Filed	Yes	No
<u>None</u>				

**ALL FOREIGN APPLICATIONS, IF ANY, FILED
MORE THAN TWELVE (12) MONTHS PRIOR TO THE FILING DATE
OF THIS APPLICATION**

			Priority Claimed Under 35 USC 119	
Country	Application No.	Date Filed	Yes	No
<u>None</u>				

201

Full name of sole or first inventor: Mark Y. Zhan

Country of Residence: U.S.A.

Country of Citizenship: U.S.A.

Post Office and Residence Address: 7536 Haystack Lane
Frankfort, Illinois 60423 (Will County)

202

Full name of joint inventor: Stuart H. Thomson

Country of Residence: U.S.A.

Country of Citizenship: U.S.A.

Post Office and Residence Address: 4063 Cumnor Road
Downers Grove, Illinois 60515 (DuPage County)

203

Full name of joint inventor:

Country of Residence:

Country of Citizenship:

Post Office and Residence Address:

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Right to Life

Page 084

POWER OF ATTORNEY

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

David C. Brezina, Registration No. 34,128,
Thomas E. Smith, Registration No. 18,243,
Dennis M. McWilliams, Registration No. 25,195,
James R. Sweeney, Registration No. 18,721,
William M. Lee, Jr., Registration No. 26,935,
Glenn W. Ohlson, Registration No. 28,455,
Jeffrey R. Gray, Registration No. 33,391,
Gerald S. Geren, Registration No. 24,528,
Timothy J. Engling, Registration No. 39,970
Robert F. I. Conte, Registration No. 20,354
Peter J. Shakula, Registration No. 40,808
William J. Lenz, Registration No. 44,208
Gregory B. Beggs, Registration No. 19,286,

all members of the Bar of the State of Illinois as my attorneys, either individually or collectively, with full power of substitution and revocation, to transact all business in the United States Patent and Trademark Office in connection therewith.

SEND CORRESPONDENCE TO:

Mr. David C. Brezina
Lee, Mann, Smith, McWilliams,
Sweeney & Ohlson
P. O. Box 2786
Chicago, Illinois 60690-2786
312-368-0034 Facsimile

DIRECT TELEPHONE CALLS TO:

Mr. David C. Brezina
312-368-6640 Direct Line
312-368-1300 Telephone

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

May 24 - Jan Stuart H. Thomson

Signature of
Inventor 201

**Signature of
Inventor 202**

**Signature of
Inventor 203**

12/13 / 1999
Date

DEC. 13, 1999
Date

Date _____

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Ris IX

Page 885

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

ASSIGNMENT

WHEREAS, we, Mark Y. Zhan, of 7536 Haystack Lane, Frankfort, County of Will, and State of Illinois 60423, and Stuart H. Thomson, of 4063 Cumnor Road, Downers Grove, County of DuPage, and State of Illinois 60515, have invented certain improvements in **CARGO SNUGGER STRAP AND HOOK MECHANISM**, for which improvements and the invention or inventions disclosed therein we executed an Application for Letters Patent of the United States of America herewith; and

WHEREAS, Holland Company, a corporation organized and existing under and by virtue of the laws of the State of Illinois, is desirous of acquiring title to the aforesaid application, the improvements and the invention or inventions disclosed therein, and all Letters Patent or other certificates of protection, of the United States, or of any other country, which may be granted therefor;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) to us in hand paid, and other good and valuable considerations, the receipt of which is hereby acknowledged, we, Mark Y. Zhan and Stuart H. Thomson have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said Holland Company, its successors and assigns, the entire right, title and interest in and to the aforesaid application, the improvements and the invention or inventions disclosed therein, all priority rights pertaining to same, and all Letters Patent and other certificates of protection, both domestic and foreign, which may be granted therefor, including any reissues and extensions thereof, to be held by said Holland Company as fully and entirely as the same would have been held by us had this Assignment and sale not been made; and we hereby bind ourselves, our heirs and legal representatives, to promptly, upon request of the said assignee, execute with proper signatures and oath or affirmation, any and all papers including all divisional, substitute, continuing and reissue applications for said

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Right.

Page 886

improvements and any of said inventions, and all applications for foreign patents or other certificates of protection for said improvements and any of said inventions, and to perform, but without cost or expense to us, all acts necessary or expedient to secure to said assignee patent or other protection for said improvements and any of said inventions in the United States and in all foreign countries selected by the said assignee, as fully and entirely as the same might have been secured by us had this Assignment not been made.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals respectively.

Dec. 13, 1999
Date

Mark Y. Zhan
Mark Y. Zhan

Dec. 13, 1999
Date

Stuart H. Thomson
Stuart H. Thomson

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Page 887

STATE OF ILLINOIS)

SS.

COUNTY OF WILL)

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Mark Y. Zhan, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.



Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

STATE OF ILLINOIS)

SS.

COUNTY OF WILL)

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Stuart H. Thomson, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.



Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

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12/13/99 11:34:36

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Page 885

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

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WHEREAS, we, Mark Y. Zhan, of 7536 Haystack Lane, Frankfort, County of Will, and State of Illinois 60423, and Stuart H. Thomson, of 4063 Cumnor Road, Downers Grove, County of DuPage, and State of Illinois 60515, have invented certain improvements in **CARGO SNUGGER STRAP AND HOOK MECHANISM**, for which improvements and the invention or inventions disclosed therein we executed an Application for Letters Patent of the United States of America herewith; and

WHEREAS, Holland Company, a corporation organized and existing under and by virtue of the laws of the State of Illinois, is desirous of acquiring title to the aforesaid application, the improvements and the invention or inventions disclosed therein, and all Letters Patent or other certificates of protection, of the United States, or of any other country, which may be granted therefor;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) to us in hand paid, and other good and valuable considerations, the receipt of which is hereby acknowledged, we, Mark Y. Zhan and Stuart H. Thomson have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said Holland Company, its successors and assigns, the entire right, title and interest in and to the aforesaid application, the improvements and the invention or inventions disclosed therein, all priority rights pertaining to same, and all Letters Patent and other certificates of protection, both domestic and foreign, which may be granted therefor, including any reissues and extensions thereof, to be held by said Holland Company as fully and entirely as the same would have been held by us had this Assignment and sale not been made; and we hereby bind ourselves, our heirs and legal representatives, to promptly, upon request of the said assignee, execute with proper signatures and oath or affirmation, any and all papers including all divisional, substitute, continuing and reissue applications for said

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Right-tilt

Page 006

improvements and any of said inventions, and all applications for foreign patents or other certificates of protection for said improvements and any of said inventions, and to perform, but without cost or expense to us, all acts necessary or expedient to secure to said assignee patent or other protection for said improvements and any of said inventions in the United States and in all foreign countries selected by the said assignee, as fully and entirely as the same might have been secured by us had this Assignment not been made.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals
respectively.

Dec. 13, 1999

Date _____

Mark Y. Zhan

Mark Y. Zhan

DEC. 13, 1999

Date _____

Stuart H. Thomson
Stuart H. Thomson

Stuart H. Thomson

12/13/99 11:35:12

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Page 887

STATE OF I^{LL}INOIS)

SS.

COUNTY OF WILL)

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Mark Y. Zhan, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.



Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

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Valerie Anne Lynch
NOTARY PUBLIC

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01-04-2000

Docket No.: 1095-1067.1

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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12-13-99

Tab'settings

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B. Patent No.(s)

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Herewith

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Internal Address: Lee, Mann, Smith, McWilliams,
Sweeney & Ohlson

Street Address: P. O. Box 2786

City: Chicago State: IL ZIP: 60690-2786

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

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Name of Person Signing

David C. Brezina

Signature

December 13, 1999

Date

Total number of pages including cover sheet, attachments, and document: 4

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David C. Brezina

December 13, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1095-1067.2

First Inventor

Mark Y. Zhan

Title

Cargo Snugger Strap And Hook Mechanism

Express Mail Label No.

EL 874 025 219 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patent
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **18**]
(preferred arrangement set forth below)
- Descriptive title of the invention Copy from Parent
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
Copy from Parent
5. Oath or Declaration [Total Pages **3**]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) Copy fro
37 CFR 3.73(b) Statement ☐ Power of Attorney Paren
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
16. ☐ PTO Form 892 (Paper 6)
17. ☒ Other: 1st & 2nd Preliminary Amendments; Resp to OA
02-07-01; 06-22-01; and 01-10-02

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/459545**

Prior application information: Examiner **Stephen T. Gordon** Group / Art Unit **3612**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David C. Brezina				
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson				
Address	P. O. Box 2786				
City	Chicago	State	IL	Zip Code	60690-2786
Country	USA	Telephone	312-368-1300	Fax	312-368-0034

Name (Print/Type) David C. Brezina

Registration No. (Attorney/Agent)

34,128

Signature

David C. Brezina

Date

March 6, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,
Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box
Patent Application, Washington, DC 20231.

LEE, MANN, SMITH, MC WILLIAMS, SWEENEY & OHLSON

12/13/1999 Commissioner of Patents and Trademarks

38045

Invoice Date	Invoice No.	Description	Matter ID	Amount
12/13/1999		Utility Patent Application Fee	1095-1067.1	760.00

JC979 U.S. PTO
10/092099
03/06/02

LEE, MANN, SMITH, MC WILLIAMS,
SWEENEY & OHLSON
THE ROOKERY BUILDING
209 S. LASALLE ST., SUITE 410
CHICAGO, IL 60604-1202

THE NORTHERN TRUST COMPANY
CHICAGO, IL 60675
PAYABLE THROUGH
NORTHERN TRUST BANK/DUPAGE
OAKBROOK TERRACE, IL 60181
70-2382-719

38045

Seven Hundred Sixty & No/100 Dollars

DATE

AMOUNT

12/13/1999

760.00

Commissioner of Patents and Trademarks
Washington, DC 20231-9998

Jennifer M. Hempleus

⑈038045⑈ ⑆071923828⑆ 000417⑈405⑈

Security features included. Details on back.

CASE NO. 1095-1067.1
Holland Company

Please acknowledge
receipt of the
enclosed:

Mark Y. Zhan and Stuart H. Thomson

Patent: Cargo Snugger Strap And Hook
Mechanism

SERIAL NO: To Be Assigned Herewith

JCS62 U.S. PTO

09/459545



FILING DATE: Herewith

1. U.S. Utility Patent Application with
3 sheets of drawings figures, and 16
claims (3 indep. and 13 dep.)
2. Transmittal form (Ch. No. 38045 \$760)
3. Declaration/Power of Attorney
- 3a. Assignment with Cover Sheet and Fee
- 3b. Check No. 38044 \$40
4. Certificate of Mailing (USPO Express)
5. Return Post Card

DUE DATE (If Any): December 16, 1998 **1999**

DATE SENT: December 13, 1999

DCB/pl

DCB

Case 1:95-1067.1

(Holland)

Cargo Snugger Strap and Hook Mechanism

Please acknowledge receipt of the enclosed:

Serial No.: 09/459,545

Filing Date: December 13, 1999

certificate of mailing,
Second Preliminary Amendment,
return postcard.

Due Date (If Any):

Date Sent: February 28, 2001 Kk

I hereby certify that the correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to:

Honorable Commissioner of Patents
United States Patent and Trademark Office
Washington DC 20231, on the date indicated below:

Date: February 28, 2001

BY:

Kathy Kurek

DCB

Case No.: 1095-1067.1

(Holland)

Cargo Snugger Strap and Hook Mechanism

Please acknowledge receipt of the enclosed:

Serial No.: 09/459,545

Filing Date: December 13, 1999

certificate of mailing,
Second Preliminary Amendment,
return postcard.

Due Date (If Any):

Date Sent: February 28, 2001 kk

979 U.S. 1110
10/09/00
03/06/02

U.S. PTO
10/03/2009
10/03/2009

CASE NO. 1095-1067.1
Holland Company

Please acknowledge
receipt of the
enclosed:

Mark Y. Zhan and Stuart H. Thomson

Patent: Cargo Snugger Strap And Hook Mechanism

SERIAL NO: 09/459,545

FILING DATE: December 13, 1999

1. Response to Office Action of 01-10-01.
2. _____
3. _____
4. Certificate of Mailing
5. Return Post Card

DUE DATE (If Any): February 10, 2001

DATE SENT: February 7, 2001

DCB/pl

JC979 U.S. PTD
10/092099
03/06/02

CASE NO. 1095-1067.1
Holland Company

Please acknowledge
receipt of the
enclosed:

Mark Y. Zhan and Stuart H. Thomson

Patent: Cargo Snugger Strap And Hook Mechansim

SERIAL NO: 09/459,545

FILING DATE: December 13, 1999

1. Preliminary Amendment enclosing 2 sheets
of drawings and adding priority information.
2. _____
3. _____
4. Certificate of Mailing
5. Return Post Card

DUE DATE (If Any): N/A

DATE SENT: December 14, 2000

DCB/pl

LEE, MANN, SMITH, MC WILLIAMS, SWEENEY & OHLSON

38044

12/13/1999 Commissioner of Patents and Trademarks

Invoice Date	Invoice No.	Description	Matter ID	Amount
12/13/1999		Assignment	1095-1067.1	40.00

JC979 U.S. PTO
10/092099
03/06/02

LEE, MANN, SMITH, MC WILLIAMS,
SWEENEY & OHLSON
THE ROOKERY BUILDING
209 S. LASALLE ST., SUITE 410
CHICAGO, IL 60604-1202

THE NORTHERN TRUST COMPANY
CHICAGO, IL 60675
PAYABLE THROUGH
NORTHERN TRUST BANK/DUPAGE
OAKBROOK TERRACE, IL 60181
70-2382-719

38044

Forty & No/100 Dollars

DATE	AMOUNT
12/13/1999	40.00

Commissioner of Patents and Trademarks
Washington, DC 20231-9998

Jennifer M. Stempleski ^{MP}

⑈038044⑈ ⑆071923828⑆ 000412405⑈



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 22, 2000

PTAS

LEE, MANN, SMITH, MCWILLIAMS, SWEENEY &
OHLSON

DAVID C. BREZINA

P.O. BOX 2786

CHICAGO, IL 60690-2786



101237155A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/13/1999

REEL/FRAME: 010464/0001

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZHAN, MARK Y.

DOC DATE: 12/13/1999

ASSIGNOR:

THOMSON, STUART H.

DOC DATE: 12/13/1999

ASSIGNEE:

HOLLAND COMPANY
1000 HOLLAND DRIVE
CRETE, ILLINOIS 60417

SERIAL NUMBER: 09459545

FILING DATE: 12/13/1999

PATENT NUMBER:

ISSUE DATE:

SHIRLIE SIMON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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MAR 30 2000

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MCWILLIAMS, SWEENEY & OHLSON

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Right: nX

Page 882

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a hereinafter named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 201 below, or a joint inventor if plural inventors are named below at 201 — 203, of the invention entitled:

Cargo Snugger Strap And Hook Mechanism

which is described and claimed in:

 X the attached specification; or

 the specification in Application Serial No. _____, filed _____;

that I or we have reviewed and understand the contents of the above identified specification, including the claims, that I or we do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of any inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or us or my or our legal representatives or assigns more than twelve months prior to this application, that I or we acknowledge my or our duty pursuant to 37 CFR 1.56(a) to disclose information of which I or we are aware which is material to the examination of this application, and that no application for patent or inventor's certificate on this invention has been filed by me or us or my or our legal representatives or assigns in any country foreign to the United States of America except as identified hereinafter:

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**FOREIGN APPLICATION(S), IF ANY, FILED WITHIN TWELVE (12) MONTHS
PRIOR TO THE FILING DATE OF THIS APPLICATION**

Country	Application No.	Date Filed	Priority Claimed Under 35 USC 119	
			Yes	No
<u>None</u>				

**ALL FOREIGN APPLICATIONS, IF ANY, FILED
MORE THAN TWELVE (12) MONTHS PRIOR TO THE FILING DATE
OF THIS APPLICATION**

Country	Application No.	Date Filed	Priority Claimed Under 35 USC 119	
			Yes	No
<u>None</u>				

201

Full name of sole or first inventor: Mark Y. Zhan

Country of Residence: U.S.A.

Country of Citizenship: U.S.A.

Post Office and Residence Address: 7536 Haystack Lane
Frankfort, Illinois 60423 (Will County)**202**

Full name of joint inventor: Stuart H. Thomson

Country of Residence: U.S.A.

Country of Citizenship: U.S.A.

Post Office and Residence Address: 4063 Cumnor Road
Downers Grove, Illinois 60515 (DuPage County)**203**

Full name of joint inventor:

Country of Residence:

Country of Citizenship:

Post Office and Residence Address:

209020" 660226001

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Page 884

POWER OF ATTORNEY

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

David C. Brezina, Registration No. 34,128,
 Thomas E. Smith, Registration No. 18,243,
 Dennis M. McWilliams, Registration No. 25,195,
 James R. Sweeney, Registration No. 18,721,
 William M. Lee, Jr., Registration No. 26,933,
 Glenn W. Ohlson, Registration No. 28,455,
 Jeffrey R. Gray, Registration No. 33,391,
 Gerald S. Geren, Registration No. 24,528,
 Timothy J. Engling, Registration No. 39,970,
 Robert F. I. Conte, Registration No. 20,354,
 Peter J. Shakula, Registration No. 40,808,
 William J. Lenz, Registration No. 44,208,
 Gregory B. Beggs, Registration No. 19,286,

all members of the Bar of the State of Illinois as my attorneys, either individually or collectively, with full power of substitution and revocation, to transact all business in the United States Patent and Trademark Office in connection therewith.

SEND CORRESPONDENCE TO:

Mr. David C. Brezina
 Lee, Mann, Smith, McWilliams,
 Sweeney & Ohlson
 P. O. Box 2786
 Chicago, Illinois 60690-2786
 312-368-0034 Facsimile

DIRECT TELEPHONE CALLS TO:

Mr. David C. Brezina
 312-368-6640 Direct Line
 312-368-1300 Telephone

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Mark Y. Zhan *Stuart H. Thomson*

Signature of
 Inventor 201

Signature of
 Inventor 202

Signature of
 Inventor 203

12/13/1999
 Date

DEC. 13, 1999
 Date

Date

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 2090020 6602600

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Page 885

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

ASSIGNMENT

WHEREAS, we, Mark Y. Zhan, of 7536 Haystack Lane, Frankfort, County of Will, and State of Illinois 60423, and Stuart H. Thomson, of 4063 Cumnor Road, Downers Grove, County of DuPage, and State of Illinois 60515, have invented certain improvements in CARGO SNUGGER STRAP AND HOOK MECHANISM, for which improvements and the invention or inventions disclosed therein we executed an Application for Letters Patent of the United States of America herewith; and

WHEREAS, Holland Company, a corporation organized and existing under and by virtue of the laws of the State of Illinois, is desirous of acquiring title to the aforesaid application, the improvements and the invention or inventions disclosed therein, and all Letters Patent or other certificates of protection, of the United States, or of any other country, which may be granted therefor;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) to us in hand paid, and other good and valuable considerations, the receipt of which is hereby acknowledged, we, Mark Y. Zhan and Stuart H. Thomson have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said Holland Company, its successors and assigns, the entire right, title and interest in and to the aforesaid application, the improvements and the invention or inventions disclosed therein, all priority rights pertaining to same, and all Letters Patent and other certificates of protection, both domestic and foreign, which may be granted therefor, including any reissues and extensions thereof, to be held by said Holland Company as fully and entirely as the same would have been held by us had this Assignment and sale not been made; and we hereby bind ourselves, our heirs and legal representatives, to promptly, upon request of the said assignee, execute with proper signatures and oath or affirmation, any and all papers including all divisional, substitute, continuing and reissue applications for said

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Page 886

improvements and any of said inventions, and all applications for foreign patents or other certificates of protection for said improvements and any of said inventions, and to perform, but without cost or expense to us, all acts necessary or expedient to secure to said assignee patent or other protection for said improvements and any of said inventions in the United States and in all foreign countries selected by the said assignee, as fully and entirely as the same might have been secured by us had this Assignment not been made.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals respectively.

Dec. 13, 1999

Date

Mark Y. Zhan

Mark Y. Zhan

DEC. 13, 1999

Date

Stuart H. Thomson

Stuart H. Thomson

12/13/99 11:35:12

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Page 887

STATE OF ILLINOIS)

COUNTY OF WILL)

SS.

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Mark Y. Zhan, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.

"OFFICIAL SEAL"
VALERIE ANNE LYNCH
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/3/2002

Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

STATE OF ILLINOIS)

COUNTY OF WILL)

SS.

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Stuart H. Thomson, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.

"OFFICIAL SEAL"
VALERIE ANNE LYNCH
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/3/2002

Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

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Right of X

Page 885

ATTORNEY'S DOCKET NO. 1095-1067.1

PATENT

ASSIGNMENT

WHEREAS, we, Mark Y. Zhan, of 7536 Haystack Lane, Frankfort, County of Will, and State of Illinois 60423, and Stuart H. Thomson, of 4063 Cumnor Road, Downers Grove, County of DuPage, and State of Illinois 60515, have invented certain improvements in **CARGO SNUGGER STRAP AND HOOK MECHANISM**, for which improvements and the invention or inventions disclosed therein we executed an Application for Letters Patent of the United States of America herewith; and

WHEREAS, Holland Company, a corporation organized and existing under and by virtue of the laws of the State of Illinois, is desirous of acquiring title to the aforesaid application, the improvements and the invention or inventions disclosed therein, and all Letters Patent or other certificates of protection, of the United States, or of any other country, which may be granted therefor;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) to us in hand paid, and other good and valuable considerations, the receipt of which is hereby acknowledged, we, Mark Y. Zhan and Stuart H. Thomson have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said Holland Company, its successors and assigns, the entire right, title and interest in and to the aforesaid application, the improvements and the invention or inventions disclosed therein, all priority rights pertaining to same, and all Letters Patent and other certificates of protection, both domestic and foreign, which may be granted therefor, including any reissues and extensions thereof, to be held by said Holland Company as fully and entirely as the same would have been held by us had this Assignment and sale not been made; and we hereby bind ourselves, our heirs and legal representatives, to promptly, upon request of the said assignee, execute with proper signatures and oath or affirmation, any and all papers including all divisional, substitute, continuing and reissue applications for said

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Page 886

improvements and any of said inventions, and all applications for foreign patents or other certificates of protection for said improvements and any of said inventions, and to perform, but without cost or expense to us, all acts necessary or expedient to secure to said assignee patent or other protection for said improvements and any of said inventions in the United States and in all foreign countries selected by the said assignee, as fully and entirely as the same might have been secured by us had this Assignment not been made.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals respectively.

Dec. 13, 1999
Date

Mark Y. Zhan
Mark Y. Zhan

DEC. 13, 1999
Date

Stuart H. Thomson
Stuart H. Thomson

12/13/99 11:35:12

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Page 887

STATE OF ILLINOIS)

SS.

COUNTY OF WILL)

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Mark Y. Zhan, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.

"OFFICIAL SEAL"
VALERIE ANNE LYNCH
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/3/2002

Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

STATE OF ILLINOIS)

SS.

COUNTY OF WILL)

I, Valerie Anne Lynch, a Notary Public in and for the above named County and State, do hereby certify that Stuart H. Thomson, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and seal this 13th day of December, A.D., 1999.

"OFFICIAL SEAL"
VALERIE ANNE LYNCH
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/3/2002

Valerie Anne Lynch
NOTARY PUBLIC

My Commission Expires: 10-3-02

209020-66026001